

### REMARKS

Applicants have amended claims 21, 39, 40, and 42, and canceled claim 41. Claims 21-32, 34-40 and 42 are presented for further examination. Favorable reconsideration is respectfully requested.

Claims 21-32, 34-38, and 41 were rejected as being unpatentable over JP 2001-292050, (Mita) in view of WO 03/081773<sup>1</sup> (Bauer). Claim 21-24, 26, 28, 29, 31, 32, 34, 35, 37, and 38 were rejected as being unpatentable over Mita, in view of U.S. Patent No. 5,486,800 (Davenport). Claim 39 and 42 were rejected as being unpatentable over U.S. Patent No. 6,081,172 (Strauss) in view of DE 10007178 (Baier). As shown above, applicants have amended independent claims 21, 39, 40 and 42 to recite that the piezoelectric substrate comprises "a serial resonator electrically connected between the first electrical port and the end-positioned transducer, the serial resonator having a constituent transducer and reflectors that bound the constituent transducer on both sides, the reflectors being directly adjacent the constituent transducer." In view of these amendments, withdrawal of the art rejections is respectfully requested.

In this regard, the Office Action of September 19, 2008 (page 7) equated the transducer IS3 of Bauer, Fig. 2, to the constituent transducer of the claims and the "boxes with cross" of Bauer, Fig. 2, to the reflectors of the claims. As previously noted in applicants' Reply to the Office Action of September 19, 2008, Bauer does not qualify as prior art under 35 U.S.C. §102. The Office Action of March 18, 2009 (page 12-13) acknowledged that the "Application's priority date of 2/4/2003 would pre-date the reference but only if [a] certified English translation of the foreign priority document is filed."<sup>2</sup> Accordingly, to remove Bauer as a reference against this application, we are filing a verified translation of the priority document. Specifically, as can be seen from the certification, German priority application no. 103 04 470.1 is identical to PCT/EP2003/014351 (from which this application was filed). Accordingly, we are submitting a

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<sup>1</sup> Using the equivalent U.S. Patent No. 7,304,553 as the translation.

<sup>2</sup> Emphasis in original.

copy of the translation filed with this application, along with the certification provided by Dr. Dieter Heckel.

The remaining art has not been cited for, nor does it show, "a piezoelectric substrate comprising ... a serial resonator electrically connected between the first electrical port and the end-positioned transducer, the serial resonator having a constituent transducer and reflectors that bound the constituent transducer on both sides, the reflectors being directly adjacent the constituent transducer." Accordingly, claims 21, 39, 40 and 42 are believed to be patentable.

Each of the dependent claims is believed to define patentable features of the invention. Each dependent claim partakes of the novelty of its corresponding independent claim, in light of the foregoing amendments, and, as such, has not been discussed specifically herein.

It is believed that all of the pending claims have been addressed. However, the absence of a reply to a specific rejection, issue or comment does not signify agreement with or concession of that rejection, issue or comment. In addition, because the arguments made above may not be exhaustive, there may be reasons for patentability of any or all pending claims (or other claims) that have not been expressed. Finally, nothing in this paper should be construed as an intent to concede any issue with regard to any claim, except as specifically stated in this paper, and the amendment of any claim does not necessarily signify concession of unpatentability of the claim prior to its amendment.

In view of the foregoing amendments and remarks, applicants respectfully submit that the application is in condition for allowance, and such action is respectfully requested at the Examiner's earliest convenience.

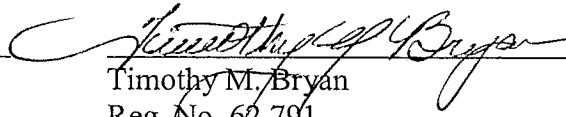
Applicant : Andreas Detlefsen, et al.  
Serial No. : 10/544,136  
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US N

Please charge any additional fees, not already covered by check, or credit any overpayment, to deposit account 06-1050, referencing Attorney Docket No. 14219-0094US1.

Respectfully submitted,

Date: October 26, 2009

  
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Munich,  
October 26, 2009  
(AW/MSE)

**International Patent Application PCT/EP2003/014351**  
**US Patent Application No. 10/544,136**

It is hereby stated that the International patent application PCT/EP2003/014351 is identical to the German priority application No. 103 04 470.1.

I am fluent in both German and English and declare that to the best of my knowledge, the translation of PCT/EP2003/014351 filed in the U.S. national stage application no. 10/544,136 is an accurate translation of the German priority application No. 103 04 470.1.

Yours faithfully,

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## Description

An electronic assembly that works with surface acoustic waves

5           The invention concerns an assembly that works with surface acoustic waves, in particular a filter with a network structure in which resonators are embedded.

          In the front-end of terminal devices for mobile communication, for example, in cellular telephones, SAW filters (SAW = surface acoustic wave) are primarily used today as band-pass  
10 filters in the HF band. These are, in the main, constructed as reactance or DMS filters (DMS = double mode SAW).

          The filters that are to be used in terminal devices for mobile communication should have as small an insertion attenuation of the useful signal as possible. The use of modern modulation  
15 methods requires higher selection and opposite-sideband suppression from the filters used in the sending and receiving path, as compared to traditional SAW filters.

          There are, for example, circuits made by connecting DMS filters with reactance elements, in particular one-port resonators constructed with the SAW technology that are characterized by  
20 slight insertion attenuation. For example, publication DE 198 18 038 A describes a DMS filter in which two DMS filters connected in series or parallel are connected in series with reactance elements at the entrance or the exit side.

          Publication DE 100 07 178 A1 describes a DMS filter that is connected with a two-port  
25 resonator with two acoustically coupled serial transducers, each of the serial transducers being connected in series with one of the transducers of the DMS filter. In that configuration,

the series branches of the circuit are connected between a symmetrically constructed entrance and a symmetrically constructed exit.

It is the purpose of this invention to describe an assembly that works with surface acoustic waves, has one symmetrical and one asymmetrical port, and has a slight insertion attenuation.

In accordance with the invention, this purpose is fulfilled with an assembly in accordance with Claim 1. Advantageous variations of the invention can be derived from the subordinate claims.

The invention describes an assembly working with surface acoustic waves that has a piezoelectric substrate on which structures of the assembly are located. The assembly has a signal line with an asymmetrical first electrical port (preferably an entrance port) and a second electrical port (preferably an exit port). The assembly in accordance with the invention comprises a first and a second partial filter that are connected one behind the other between the first and the second electrical port. Preferably, the second port is symmetrical (balanced); i.e. it has two terminals that carry the signal. It is also possible that the second port is constructed in an asymmetrical manner (unbalanced, single-ended).

The first partial filter contains a first and a second serial transducer that are located in the acoustic path and that are connected within the signal line either one behind the other or parallel to one another; i.e. they are both connected in series in the signal line, the first and the second serial transducers being acoustically coupled with one another.

The second partial filter comprises a DMS path that has a first coupler transducer and a transducer located at the end of the signal line. In the preferred variation of the invention, the DMS path

has, in addition, a second coupler transducer.

In the preferred variation of the invention, each path is bounded by reflectors on both sides.

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In an advantageous exemplary embodiment of the invention, the first and / or the second coupler transducer of the DMS path is / are each connected with at least one of the serial transducers in series. The second electrical port is preferably connected to the transducer located at the end of the DMS path.

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The preferred variation of the invention provides for a first, a second, and a third acoustic path. The first acoustic path contains at least one transducer (parallel transducer) or several parallel transducers that are acoustically coupled with one another. The second acoustic path has a first and a second serial transducer that are acoustically coupled with one another. The third acoustic path is a DMS path and has, in particular, at least two coupler transducers and at least one end-positioned transducer that is preferably located between the coupler transducers. The serial transducers and the parallel transducer or transducers are connected in a ladder-type configuration in which the serial transducers in each case constitute serial resonators having the ladder-type configuration, and the parallel transducer or transducers in each case constitute a parallel resonator having the ladder-type configuration; i.e. they are connected parallel to the signal line against a reference potential (preferably a ground). At least one of the serial transducers is electrically connected with one or several of the coupler transducers of the DMS path, i. e., connected, for example, in series against a reference potential (ground). The signal-conducting terminal of the first electrical port (entrance port) is connected to the second acoustic path. The second electrical port (exit port) is connected to the end-positioned transducer of the DMS path. In all embodiments of the invention, it is always also possible to reverse the assignment of

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the two electrical ports to the roles of entrance and exit.

In a further embodiment of the invention, the end-positioned transducer of the DMS path has at least two partial transducers that are electrically connected to one another in series  
5 between the terminals of the second electrical port.

In an advantageous embodiment of the invention, the DMS path can have additional coupler and / or end-positioned transducers, the coupler transducers and the end-positioned transducers being acoustically coupled with one another and positioned, at least in part, in an  
10 alternating manner.

The assembly in accordance with the invention differs from the usual DMS filters with two DMS paths by having a small insertion attenuation. The invention describes a ladder-type structure that is especially space saving, that is connected with a DMS path, and that has  
15 particularly steep signal edges of the transfer function and therefore a high selection. Moreover, these features of the invention make it possible to attain a higher and a sufficient image-frequency suppression than with familiar electroacoustic components having comparable dimensions of the transducers.

20 In the following, the invention is explained in more detail using exemplary embodiments and the figures relating to them. The figures serve only for explanation and are not to scale. Identical or identically functioning elements are identified with the same reference symbols.

Figure 1 shows an assembly in accordance with the invention in a schematic  
25 representation (Figure 1a) and as a corresponding equivalent circuit (Figure 1b).



Figures 1c to 7 show advantageous specific embodiments of an assembly in accordance with the invention having a DMS path located at the exit side.

Figures 8 to 11 show advantageous specific embodiments of an assembly in accordance  
5 with the invention having a DMS path located at the entrance side.

Figure 1 shows the basic manner of construction of an assembly in accordance with the invention in principle. The assembly has a first acoustic path, S1, a second acoustic path, S2, and a DMS path, S3, that are electrically connected with one another. The first and the second  
10 acoustic paths form a first partial filter, the third acoustic path forms a second partial filter.

The first path, S1, has a parallel transducer, W1, that is located between reflectors R11 and R12. The second acoustic path, S2, contains a first serial transducer, W21, and a second serial transducer, W22, that is acoustically coupled with the first; these two transducers are  
15 bounded on both sides at each end of the path by reflectors R21 and R22. The first serial transducer, W21, is connected to the signal-conducting terminal P1 of an entrance port. The serial transducers W21 and W22 are each located in a series branch of the first and second basic member of a ladder-type configuration and the parallel transducer, W1, is positioned in the parallel branch of the ladder-type configuration. In this exemplary embodiment, the transducers  
20 W21, W22, and W1 form a T-branch of a well-known ladder-type configuration. The ladder-type structure configured in this manner is also electrically connected with coupler transducers AW31, AW32 of DMS path, S3. The coupler transducers AW31, AW32 of the DMS path are acoustically coupled with the end-positioned transducer, MW3. The end-positioned transducer, MW3, is connected with terminals P21, P22 of an exit port. Here, the exit port is constructed as a  
25 symmetrical, electrical port. The DMS path is bounded on both sides by reflectors R31 and R32.

It is possible that the first acoustic path, S1, has additional parallel transducers, W1, preferably acoustically coupled with one another, that are each located in parallel branches of the ladder-type configuration. It is also possible that a second acoustic path, S2, has more than only two serial transducers that are preferably acoustically coupled with one another and are each located in series branches of the signal line, for example, each of them in a series branch of different basic members of the ladder configuration. In that configuration, it is possible that the afore-mentioned serial transducers are each electrically connected in series with the coupler transducers AW31 and AW32 of the DMS path.

Moreover, the invention can provide for an additional DMS path being cascade-connected with the DMS path, S3. It is also possible that an additional acoustic path, connected in series in the signal line, with serial transducers that are acoustically connected with one another and that are bounded on both sides by reflectors, be located at the entrance side.

In Figure 1b, the equivalent circuit corresponding to the assembly as represented in Figure 1a is shown.

In Figure 1c, the serial transducers W21 and W22 form a series connection between the entrance port and the coupler transducers, AW31, AW32 of the DMS path. The first serial transducer W21 is connected to the entrance port. The second serial resonator, which is acoustically coupled with the first serial transducer, W21, is connected, on the one hand, with the first serial transducer, W21, and on the other hand, with the coupler transducers, AW31, AW32, of the DMS path.

Figure 2 shows a further advantageous exemplary embodiment of the invention. Here, the entrance port is connected to the parallel transducer, W1. In this configuration, the series terminal of the

second serial transducer, W22, and of the first coupler transducer, AW31, of the DMS path, on the one hand, and, on the other hand, the series connection of the first serial transducer, W21, and of the second coupler transducer, AW32, of the DMS path are connected parallel to the parallel transducer, W1.

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Figure 3 shows a further variation of the assembly in accordance with the invention. The second acoustic path has a first, a second, and a third serial transducer (W21, W22, and W23). The first serial transducer, W21, of the second acoustic path is connected electrically with the first coupler transducer, AW31, of the DMS path. The third serial transducer, W23, of the second acoustic path is electrically connected with the coupler transducer, AW32, of the DMS path. The signal-conducting terminal P1 of the entrance port is connected with the second serial transducer, W22, of the second acoustic path. Connected in series to the second serial transducers W22, are the first and third serial transducers, W21, W23, the two mentioned last being connected parallel to each other.

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Figure 4 shows a variation of the assembly in accordance with the invention with an output port that is constructed as an asymmetric electrical port with a signal-conducting terminal, P2.

20 The signal-conducting terminal, P1, of the input port can, as shown in Figure 5, be connected to a reflector, R23, that is located between the serial transducers, W21 and W23, of the second acoustic path. In particular, this reflector makes it possible to adjust the acoustic coupling between the serial transducers that surround it.

25 In the assembly in accordance with the invention that is shown in Figure 6, partial transducers MW31 and MW32 are used instead of the end-positioned transducer, MW3. The partial transducers MW31 and MW32 are connected in series between terminals P21 and P22 of the output port in such a way that the signals at terminals P21 and P22 are antiphasic. The series connection of partial transducers MW31 and MW32 corresponds to a split transducer; however, 30 it is possible in this variation to achieve a transducer impedance that is higher by a factor of 4 with the same number of electrode fingers in comparison with the transducer that is not split.

In one variation, a reflector is placed between transducers of the first (or second) acoustic path that are located next to each other. With the reflector that is placed between the acoustically coupled transducers, it is possible, for example, to modify the acoustic coupling. In Figure 7, a reflector, R24, is placed in the second acoustic path between the serial transducers W21 and W22, and a reflector, R25, is placed between the serial transducers W22 and W23. In each case, the reflectors can have metal strips that are connected to one another. It is also possible that the metal strips of a particular reflector are not connected with one another.

In Figure 8, the second partial filter (the DMS path) is located on the side of the first port (input port). The end-positioned transducer, MW3, of the DMS path is connected with the signal line in series and acoustically coupled with the coupling transducers AW31 and AW32. The end-positioned transducer, MW3, of the DMS path and a serial resonator that includes a transducer, W1, and reflectors R11 and R12 are connected in series between the signal-conducting terminal P1 of the first port and a reference potential (ground). The first AW31 and the second AW32 coupler transducer are connected with the first W21 and the second W22 serial transducer in series between the first, P21, and the second, P22, signal-conducting terminal of the second port (output port) and a reference potential (ground). In this configuration, the first terminal, P21, of the second port is connected to the first serial transducer, W21, and the second terminal, P22, of the second port is connected to the second serial transducer, W22.

In the embodiment of the assembly in accordance with the invention that is shown in Figure 9, a reflector, R23, is placed between the first serial transducer, W21, and the second serial transducer, W22, for the purpose of controlling the acoustic coupling between the two transducers.

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In the next exemplary embodiment schematically represented in Figure 10, the DMS path has two end-positioned transducers, MW31 and MW32, on the input side. The end-positioned transducers, MW31 and MW32, are located in a series branch of the signal line and are connected parallel to one another. Here, the coupler transducers AW31 and AW32 are placed next to each other between the end-positioned transducers MW31 and MW32. The coupler transducers AW31 and AW32 can be constructed as partial transducers of a transducer that are connected with one another in such a way that they deliver electrically antiphasic signals. The transducers W21, AW31, AW32 and W22, are connected one behind the other between the first, P21, and the second, P22, terminal of the second port. The first serial transducer, W21, is connected to the first terminal, P21, and the second serial transducer, W22, to the second terminal, P22, of the second port.

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In Figure 11, a further advantageous version of an embodiment of the invention is shown. The signal-conducting terminal, P1, of the first port is connected with the end-positioned transducers MW31 and MW32. The end-positioned transducers, MW31, MW32, are, on the other hand, connected to a reference potential. The way in which the coupler transducers AW31 and AW32 are connected with the serial transducers W21 and W22 corresponds to the description in Figure 10. The second electrical port is connected to an additional acoustic path with a first transducer, W42, and a second transducer, W41, that are bounded on both sides by reflectors R41, R42. In this configuration, the first terminal, P21, of the second port is connected to the first transducer, W41, and the second terminal, P22, of the second port is connected to the second transducer, W42.

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The first transducer, W41, and the second transducer, W42, are acoustically coupled with one another and connected in series.

5 The assembly in accordance with the invention that has on one side (preferably at the entrance) an asymmetric electrical port and, on the opposite side, (preferably at the exit) a symmetric electrical port, has the advantage that, in addition to the function of a filter, it also fulfills the function of a balun. This solution is particularly advantageous for mobile radio devices, inasmuch as it is possible, when the assembly in accordance with the invention is used, to save space at the level of the end device and to keep losses small by omitting a balun (which is  
10 usually made as a discrete component) or through integration of the balun function into a front-end filter.

The figures render the invention only schematically so that a better explanation of the invention is possible. For this reason, the representations are not to scale and also render the  
15 outer geometric shape only schematically. Further, the invention is not limited to the details that are presented in the figures, but also includes the possibilities of variation that have been mentioned already, as well as additional embodiments that are conceivable within the scope of the claims.

## Patent Claims

1. Assembly that works with surface acoustic waves and that contains a piezoelectric substrate on which are located
  - a signal line with an asymmetrically shaped first electrical port that has a signal-  
5 conducting terminal  
(P1) and a second electrical port, and
  - a first and a second partial filter, that are connected in series between the first and the second ports,
  - the first partial filter having a first serial transducer (W21) and a second  
10 serial transducer (W22) that are located in an acoustic path (S2),
  - the first serial transducer (W21) and the second serial transducer (W22)  
being located in series branches of the signal line
  - the first serial transducer (W21) and the second serial transducer (W22)  
being acoustically coupled with one another,
  - 15 - the second partial filter contains a DMS path (S3) that has a first  
coupler transducer (AW31) and a transducer (MW3) that is end-positioned in the signal  
line.
2. Assembly in accordance with Claim 1,  
20 in which the DMS path has a second coupler transducer (AW32).
3. Assembly in accordance with Claim 2,  
in which the end-positioned transducer (MW3) is placed between the coupler transducers  
(AW31, AW32).  
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4. Assembly in accordance with one of the Claims 1 to 3,  
in which each path is bounded on both sides by reflectors.
5. Assembly in accordance with one of the Claims 1 to 4,  
30 in which there are additional serial transducers in the acoustic path (S2) of the first  
partial filter, that are located in series branches of the signal line and are

electrically connected in series with the coupler transducers (AW31, AW32) of the DMS path.

6. Assembly in accordance with one of the Claims 1 to 5,  
in which there are additional coupler transducers and / or additional end-positioned transducers in the DMS path, the coupler transducers and the end-positioned transducers being alternately arranged, at least in part.

7. Assembly in accordance with one of the Claims 1 to 6,  
in which a reflector (R23) is placed between each of the serial transducers (W21, W22).

8. Assembly in accordance with one of the Claims 1 to 7,  
in which the second port is symmetrical and has a first terminal (P21) and a second terminal (P22).

9. Assembly in accordance with Claim 7,  
in which a reflector (R23) is placed between the first serial transducer (W21) and the second serial transducer (W22), and in which the signal-conducting terminal (P1) of the first port is connected to this reflector (23).

10. Assembly in accordance with one of the Claims 1 to 7 or 9,  
in which the second port is asymmetrical as well.

11. Assembly in accordance with one of the Claims 1 to 10,  
in which the first partial filter is connected with the first port, in which the second port is connected with the end-positioned transducer (MW3) of the DMS path, and in which the first (AW31) and / or the second (AW32) coupler transducer of the DMS path is connected in series with at least one of the serial transducers (W21, W22).

12. Assembly in accordance with one of the Claims 1 to 11,  
in which the first partial filter has an additional acoustic path (S1) that is connected



with the first port, which path has a parallel transducer (W1) that is connected between the signal line and the ground.

13. Assembly in accordance with one of the Claims 1 to 12, in which there are, within the first partial filter, several parallel transducers (W1) that are located in an additional acoustic path (S1) which is connected with the first port, and that are acoustically coupled with one another, and each of which is connected between signal line and ground.

14. Assembly in accordance with one of the Claims 1 to 13, in which the end-positioned transducer, (MW3) of the DMS path has at least two partial transducers that are electrically connected with one another, and that are connected in series between the terminals of the second port.

15. Assembly in accordance with one of the Claims 1 to 14, in which the signal-conducting terminal (P1) of the first port is connected to at least one of the serial transducers (W21, W22).

16. Assembly in accordance with one of the Claims 1 to 15, in which the first partial filter has an additional acoustic path that has at least one serial transducer, is connected with the first port, and is located in the signal line.

17. Assembly in accordance with one of the Claims 1 to 8, in which the second partial filter is located on the side of the first port, in which the first terminal (P21) of the second port is connected to the first serial transducer (W21) and the second terminal (P22) of the second port is connected to the second serial transducer (W22),

in which the end-positioned transducer (MW3) of the DMS path is placed in the signal line that is connected to the first port (P1), and in which the first coupler transducer (AW31) of the DMS path is connected in series with the first serial transducer (W21), in which the second coupler transducer (AW32) of the  
5 DMS path is connected in series with the second serial transducer (W22).

18. Assembly in accordance with Claim 17,  
in which the DMS path has at least two end-positioned transducers (MW31, MW32), in which the first (AW31) and the second (AW32) coupler transducer of the DMS path are  
10 located between the end-positioned transducers (MW31, MW32), in which the first (AW31)  
and the second (AW32) coupler transducer are placed next to each other and are connected with each other in series.

15 19. Assembly in accordance with Claim 17 or 18,  
in which a serial resonator is connected between the first port and the end-positioned transducer  
(MW3, MW31, MW32), the resonator having a transducer (W1) and reflectors (R11, R12)  
20 that bound the transducer on both sides.

20. Assembly in accordance with Claims 17 to 19,  
in which the second port is connected to an additional acoustic path with a first transducer  
25 (W41) and a second transducer (W42) that are bounded on both sides by reflectors (R41, R42), the first terminal (P21) of the second port being connected to the first transducer (W41), the second terminal (P22) of the second port being connected to the second transducer (W42), the first transducer (W41) and the second transducer (W42) being acoustically coupled with one another.

## Summary

An electronic assembly that works with surface acoustic waves

5           The invention concerns an electrical assembly that works with surface acoustic waves,  
and that has an asymmetric entrance and a preferably symmetrical exit, with a DMS path (DMS  
= double mode surface acoustic wave), that has at least one end-positioned transducer and at  
least one coupler transducer, and with a two-port resonator that has at least two serial  
transducers, the coupler transducers of the DMS path being each connected in series with one of  
10 the transducers of the two-port resonator. By using the connection of the paths in a circuit in  
accordance with the invention, it is possible, in particular, to achieve slight insertion attenuation  
with high suppression in the cutoff region of a band-pass filter, to keep the space requirement for  
the assembly's structures small, and, if appropriate, to simultaneously implement the function of  
a balun in the filter.

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Figure 1a